Ding Zhao

Assistant Professor Carnegie Mellon University

2022 @ Ding Zhao

Trustworthy AI Autonomy M4-1 Certification and Digital Twin Generation

Plan for today

- Importance of Certification/Evaluation
- Evaluation and test methods of AI autonomy
	- Naturalistic Field Operational Test (N-FOT) Test on the public roads
	- Proving ground tests
	- Simulation/digital twins/augmented reality/meta universe
- Concept of Scenarios

FIRSTMILE

Autonomous Vehicle Landscape

Regulations

U.S. Department of Transportatio

P

Automated Vehicles 3.0

PREPARING FOR THE FUTURE OF TRANSPORTATION
OCtober 2018

With the development of automated vehicles, American creativity and innovation hold the potential to once again transform mobility.

New regulation

DEPARTMENT OF TRANSPORTATION

National Highway Traffic Safety Administration

49 CFR Part 571

Docket No. NHTSA-2021-0003

RIN 2127-AM06

Occupant Protection for Vehicles With

Automated Driving Systems

AGENCY: National Highway Traffic Safety Administration (NHTSA), Department of Transportation.

ACTION: Final rule.

SUMMARY: This final rule amends the occupant protection Federal motor vehicle safety standards (FMVSSs) to account for future vehicles that do not have the traditional manual controls associated with a human driver because they are equipped with Automated Driving Systems (ADS). This final rule makes clear that, despite their innovative designs, vehicles with ADS technology must continue to provide the same high levels of occupant protection that

Ding Zhao | CMU 6 https://www.nhtsa.gov/sites/nhtsa.gov/files/2022-03/Final-Rule-Occupant-Protection-Amendment-Automated-Vehicles.pdf

AUTOS

U.S. clears way for truly driverless vehicles without steering wheels

PUBLISHED FRI, MAR 11 2022.10:31 AM EST | UPDATED FRI, MAR 11 2022.1:04 PM EST

Michael Wayland @MIKEWAYLAND

SHARE \sim

Federal vehicle safety regulators have cleared the way for the production **KEY POINTS** and deployment of truly driverless vehicles that do not include manual controls such steering wheels or pedals.

- The U.S. National Highway Traffic Safety Administration on Thursday issued final rules eliminating the need for highly automated and selfdriving vehicles to need such controls.
- The new rule emphasizes such cars "must continue to provide the same high levels of occupant protection as current passenger vehicles."

Certification and Evaluation methods

7 *Huang ''Synthesis of Different Autonomous Vehicles (AV) Test Approaches ", ITSC, 2018*

On-road autonomous driving test

On-road human-driven driving test

AV sequential deployment plan

Resource Consumption

How safe is safe enough for AVs ?

How to measure the safety: Simulation, Vehicle in-the-loop simulation (VIL), physical tests, Open-roads

https://publications.jrc.ec.europa.eu/repository/handle/JRC127051

How safe is safe enough for AVs ?

Fidelity

9 https://publications.jrc.ec.europa.eu/repository/handle/JRC127051

Simulation VIL Simulation Physical test Open-roads

Table 13: Distribution of scenarios by testing approach.

Naturalistic Field Operational Tests (NFOT)

10

Waymo's self-driving car performing left-turn maneuver

<https://storage.googleapis.com/sdc-prod/v1/safety-report/2020-09-waymo-safety-report.pdf>

" Permit Holders

As of June 20, 2018, there are 56 Autonomous Vehicle Testing Permit holders. *Source: DMV.ca.gov [\(https://www.dmv.ca.gov/portal/dmv/detail/vr/autonomous/testing](https://www.dmv.ca.gov/portal/dmv/detail/vr/autonomous/testing)* **"** *)*

Source: DMV.org [\(https://www.dmv.org/articles/top-5-cities-for-self-driving-boom\)](https://www.dmv.org/articles/top-5-cities-for-self-driving-boom)

San Jose, CA **Ann Arbor, MI** Boston, MA Pittsburgh, PA Austin, TX

AV Deployment

AV testing in California

11

Naturalistic Field Operational Tests (NFOT)

Driving Datasets for Autonomous Vehicles

Comparison to human baselines

Classification of Waymo-involved collisions (6.1 million AV miles driven)

*CRSS 2016-2018, Urban area, ≤45 mph roadways

† denotes sole collision in driverless operation (without human operator present)

Proving grounds tests

- Proving grounds provide a physical semi-controllable environment to test AI, e.g. autonomous vehicles (AV)
- Example of AV proving ground facilities:
	- Mcity (UM)
	- The Castle (Waymo)
	- ALMONO (Uber)
	- American Center for Mobility
	- SMART Transportation Research Center (US DOT)
	- Kcity (South Korea)

ALMONO (42 acres) Mcity (32 acres) Kcity (88 acres)

Rui Chen, Mansur Arief, Weiyang Zhang, and Ding Zhao. "How to Evaluate Proving Grounds for Self-Driving? A Quantitative Approach." *IEEE Transactions on Intelligent Transportation Systems* (2020).

Proving grounds

• Geometric based test scenario generations

Ding Zhao | CMU

Naturalistic Driving Data

Driving Scenarios

Proving grounds

- Data-driven test scenario generations
- Key steps:
	- Extract driving scenarios from driving database
	- Extract proving ground map geometries and assets
	- Optimize the scenario-map compatibility

17

Mcity Scenario Coverage 1.0000 Land Efficiency 1.0000

Testing Effectiveness Score

Scenario-Map Compatibility

Rui Chen, Mansur Arief, Weiyang Zhang, and Ding Zhao. "How to Evaluate Proving Grounds for Self-Driving? A Quantitative Approach." *IEEE Transactions on Intelligent Transportation Systems* (2020).

Simulations

- Simulations allow fast and fully-controlled testing for AI algorithms
- Simulation-based testing is often done at various fidelity level

[Scalable Multi-Agent Reinforcement](https://github.com/huawei-noah/SMARTS) [Learning Training School \(SMARTS\)](https://github.com/huawei-noah/SMARTS)

Scalable Multi-Agent Remocement [Highway Gym Environment \(highway-env\)](https://highway-env.readthedocs.io/en/latest/)
Learning Training School (SMARTS) Highway Gym Environment (highway-env)

[CARLA simulation](https://carla.org/) **EXARLA simulation** [PreScan](https://tass.plm.automation.siemens.com/prescan) PreScan [Uber ATG simulation platform](https://medium.com/@UberATG/simulation-the-invisible-gatekeeper-e6ef84ea7647)

[WeBot for Automobiles](https://cyberbotics.com/doc/automobile/index)

Waymo simulated collisions

Head-on collisions Rear-end collisions

Schwall, Matthew, et al. "Waymo Public Road Safety Performance Data." arXiv preprint arXiv:2011.00038 (2020).

Huang "Synthesis of Different Autonomous Vehicles (AV) Test Approaches", ITSC, 2018 20

Synthesis tests

• Multi-fidelity models (e.g. Gaussian processes) are promising to

synthesize information among various testing modes

Evaluation and test methods for AI autonomy

21 *Huang ''Synthesis of Different Autonomous Vehicles (AV) Test Approaches ", ITSC, 2018*

Resource Consumption

Methods

- Traditional ways to identify scenarios
- Data-based Scenario Generation
- Adversarial Scenario Generation
- Knowledge-based Scenario Generation

Traditional ways to identify scenarios

Table 5. Priority V2V Pre-Crash Scenarios

Ding Zhao | CMU W. G. Najm, S. Toma, J. Brewer, "Depiction of Priority Light-Vehicle Pre-Crash Scenarios for Safety Applications Based on 23 Vehicle-to-Vehicle Communications" (DOT HS 811 732, 2013).

"Accelerated" tests

Limitation

- Scenarios manually selected by human may not be able to take the advantage of the big data
- Human and AVs may have different critical scenarios

Realistic safety-critical scenario generation

- Opportunities:
	- Cheaper data access
	- More powerful computational facilities
	- Better machine learning algorithms
- Challenges:
	- Data sparsity /imbalance/rarity
	- Multi-modes
	- Dynamic long-horizon temporal decision making
	- High dimensional sensing input

** Data source: California Department of Motor Vehicle disengagement report 2020* 26

Why safety-critical scenarios?

A new stage of evaluating autonomous vehicles: safety-critical scenarios

How to get safety-critical scenarios?

- **Tremendously rare**
- Expensive

Collect from real-world road test

Collect from rule-based simulators

What's the expectation of a good scenario generator ?

- Lack of diversity
- Not realistic

Reality, Adaptability, Controllability, Efficiency, Diversity

Data-based Scenario Generation

• What does each latent variable mean? How to get the trajectories we want?

W. Ding, W. Wang, D. Zhao, A new multi-vehicle trajectory generator to simulate vehicle-to-vehicle encounters, ICRA 2019

-
- Safety-critical data is still rare in the latent space.

W. Ding, W. Wang, D. Zhao, A new multi-vehicle trajectory generator to simulate vehicle-to-vehicle encounters, ICRA 2019 29

Data-based Scenario Generation

Randomly sample from the learned latent space

Data-based Scenario Generation

Assume encounter trajectories are formed by two parts: road shape (style), risk level (content)

W. Ding, M. Xu, D. Zhao, CMTS: Conditional Multiple Trajectory Synthesizer for Generating Safety-critical Driving Scenarios, ICRA 2020

Driving scenario generation

Ding Zhao | CMU

31 Ding W, Chen B, Li B, et al. Multimodal Safety-Critical Scenarios Generation for Decision-Making Algorithms Evaluation. IEEE Robotics and Automation Letters, 2021

2×2 kernel
stride = 2 intermediate grid output true data distribution $3x3$ $\overline{\bigcup_{\mathsf{stride}=1}}$ 6 X 6 Output of transpose convolution: output size = $(input size - 1)*{stride - 2*padding + (kernel size - 1) + 1}$ Ding Zhao | CMU | 2021 wnneuralnetwork.blogspot.com/2020/02/calculating-output-size-of-convolutions.html 3 image space 128 256 512 64 Stride 2 16 5 32 8 5 Stride 2 --16. Stride 2 Stride 2 Deconv 1 Deconv 2 64 Deconv 3 Deconv 4

Deconvolution operations

• Transpose convolution: expanding the input with intermediate grid

Generative models

Image

14

https://openai.com/blog/generative-models/

lossless encoding no information is lost when reducing the number of dimensions

lossy encoding

some information is lost when reducing the number of dimensions and can't be recovered later

back in the initial space Rⁿ

Vanilla autoencoder

 $\mathbf x$ $e(x) = P^{T}x$ $d(e(x)) = PP^{T}x$

Linear autoencoder

• The optimal solution of a linear autoencoder can be obtained with PCA (Principal Component Analysis). The latent space will be by calculating the Singular Value Decomposition (SVD).

<https://towardsdatascience.com/understanding-variational-autoencoders-vaes-f70510919f73>

Autoencoder

- Autoencoder can be used as data compression algorithm
	- images locally

ORIGINAL 1000 x 1500, 100kb

Instead of requesting a full-sized image, G+ requests just 1/4th the pixels...

• Google+ sends "latent images" and uses auto encoder to reconstruct

RAISR 1000 x 1500, 25kb

https://www.slrlounge.com/google-raisr-image-resolution-enhancement-straight-out-of-csi/

Autoencoder with neural networks Encoder Hidden layer 2 Encoder Hidden layer 1 Input

https://towardsdatascience.com/extreme-rare-event-classification-using-autoencoders-in-keras-a565b386f098

encoded data can be decoded without loss if the autoencoder has enough degrees of freedom

without explicit regularisation, some points of the latent space are "meaningless" once decoded

- Question: can we use one dimensional number in the latent space?
- Two ideas:
	- 1) add noise to randomize the system; 2) regularize the latent space

Issues of unregulated autoencoder

<https://towardsdatascience.com/understanding-variational-autoencoders-vaes-f70510919f73>

I)] = $||x - d(z)||^2$ + KL[N(μ_x, σ_y), N(0, I)]

Variational Autoencoder

$$
loss = ||x - x||^2 + KL[N(\mu_x, \sigma_x), N(0,
$$

<https://towardsdatascience.com/understanding-variational-autoencoders-vaes-f70510919f73>

Variational Autoencoder

$$
\cos = C ||x - x||^2 + KL[N(\mu_x, \sigma_x)]
$$

<https://towardsdatascience.com/understanding-variational-autoencoders-vaes-f70510919f73>

), N(0, l)] = $C || x - f(z) ||^2 + KL[N(g(x), h(x)), N(0, I)]$

Disentangled VAE (*β***-VAE)**

- Goal: ensuring each dimension of latent vectors learn distinct attributes
- This can be achieved by adding hyperparameter β to the loss function: $\mathcal{L}(\theta, \phi, \beta, X, Z) = \mathbb{E}[\log p_{\theta}(X|Z)] + |\beta|D_{KL}(q_{\phi}(Z|X)||p(Z))$
- The model then learns to use latent space as efficient as possible

VAE

Examples of VAE in practice

 β -VAE

(a) emotion (smile) (a)

Visualize the latent code

- PCA: Principal Component Analysis
	- Linear method, not robust to outliners
- t-SNE: t-distributed stochastic neighbor embedding
	- Nonlinear method, slow, may apply PCA first
- LDA: Linear Discriminant Analysis
	- Maximize the separation between multiple classes
	- Fast, need to know the labels of classes

LDA: maximizing the component axes for class-separation

LDA: Linear Discriminant Analysis

PCA:

component axes that maximize the variance

t-distributed stochastic neighbor embedding (t-SNE)

44 Van Der Maaten, L.J.P.; Hinton, G.E. (Nov 2008). "Visualizing Data Using t-SNE". Journal of Machine Learning Research. 9: 2579–2605.

How to visualize the latent space *z* of VAE?

- Reduce the dimension to 2 or 3
- Unsupervised dimension reduction
- Similar vectors should be close

Visualization of MNIST (digits 0-9) dataset

Toolbox

Ding Zhao | CMU

• sklearn.manifold.TSNE

https://scikit-learn.org/stable/modules/generated/sklearn.manifold.TSNE.html

(a) Visualization by t-SNE.

https://distill.pub/2016/misread-tsne/

 $\overline{9}$

t-distributed stochastic neighbor embedding (t-SNE)

How to visualize the latent space *z* of VAE?

- Reduce the dimension to 2 or 3
- Unsupervised dimension reduction
- Similar vectors should be close

Toolbox

• sklearn.manifold.TSNE

https://www.oreilly.com/people/cyrille-rossant/

Ding W, Xu M, Zhao D. Cmts: A conditional multiple trajectory synthesizer for generating safety-critical driving scenarios[C]//2020 IEEE International Conference on 46 Robotics and Automation (ICRA).

• Use linear interpolation of collision data and safe data to generate safety-critical data

-
- Use road bird-view image as constraints

• Different map conditions have different trajectory output

-
- λ controls the risk value

Ding W, Xu M, Zhao D. Cmts: A conditional multiple trajectory synthesizer for generating safety-critical driving scenarios[C]//2020 IEEE International Conference on Robotics and Automation (ICRA).

Flow-based generative models

Approximate likelihood

VAE: maximize ELBO.

Exact likelihood

Flow-based generative models: minimize the negative log-likelihood

- An adversarial attack framework
-

Safety-critical scenarios generation with flow-based model

Ding Zhao | CMU Ding W, Chen B, Li B, et al. Multimodal Safety-Critical Scenarios Generation for Decision-Making Algorithms Evaluation[J]. arXiv preprint arXiv:2009.08311, 2020.

Algorithms to be evaluated

• Use flow-based model to estimate the distribution of risky traffic scenarios

Scenario Generator (flow-based model)

Safety-critical scenarios generation with flow-based model

Ding Zhao | CMU Ding W, Chen B, Li B, et al. Multimodal Safety-Critical Scenarios Generation for Decision-Making Algorithms Evaluation[J]. arXiv preprint arXiv:2009.08311, 2020.

Data-based Scenario Generation

- Use the real-world data
- Some kind of controllability

-
-

• Poor adaptivity, no interaction with downstream task • Only use existing data, lack of diversity

Summary

Worth Reading

Higgins, Irina, Loic Matthey, Arka Pal, Christopher Burgess, Xavier Glorot, Matthew Botvinick, Shakir Mohamed, and Alexander Lerchner. "beta-vae:

- Beta-VAE Learning basic visual concepts with a constrained variational framework." (2016). Check open review:<https://openreview.net/forum?id=Sy2fzU9gl>
- General intro to GAN: *Communications of the ACM*, *63*(11), pp.139-144. GAN Lab: https://poloclub.github.io/ganlab/

Goodfellow, I., Pouget-Abadie, J., Mirza, M., Xu, B., Warde-Farley, D., Ozair, S., Courville, A. and Bengio, Y., 2020. Generative adversarial networks.

